

Determinations Committee Decision

Date:	October 13, 2011
Determinations Committee:	Americas
Meeting Date:	October 13, 2011

DC Issue 2011093001:	Has a Succession Event occurred with respect to Marshall & Ilsley Corporation?	
Question for vote	<p>(a) Has a Succession Event occurred with respect to Marshall & Ilsley Corporation?</p> <p>(b) Is BMO Financial Corp. (formerly known as Harris Financial Corp.) the sole Successor?</p> <p>(c) Is the date of the Succession Event July 5, 2011?</p>	
Vote result:	Yes	
Votes:	15 'Yes' votes and 0 'No' votes	
	Bank of America / Merrill Lynch	Yes
	Barclays	Yes
	BlackRock	Yes
	BlueMountain Capital	Yes
	Citadel	Yes
	Citibank	Yes
	Credit Suisse	Yes
	D.E. Shaw	Yes
	Deutsche Bank	Yes
	Goldman Sachs	Yes
	JPMorgan	Yes
	Morgan Stanley	Yes
	Pacific Investment Management Co., LLC	Yes
	Société Générale	Yes
	UBS	Yes